

北京航空航天大学留学博士研究生
申请学位论文评阅、答辩前发表学术论文的具体规定
(试行)

Regulations of Beihang University
for International Doctoral Candidates on Publishing
Academic Papers Prior to Application for Review of Dissertation and Oral Defense
(For Trial Implementation)

1. 申请者必须在国内外重要的学术期刊和学术会议上发表 1 篇高水平学术论文。可以允许在博士学位论文评阅、答辩前资格审查时，期刊的论文是录用状态，会议论文已公开发表并收入论文集。在博士生答辩后 24 个月内，期刊论文正式刊出、会议论文有收录号后才能审核学位。

The applicant must have openly and officially published at least one high-level academic paper: Before qualification approval of dissertation review and dissertation defense, the journal paper can be “accepted” status, while the conference paper should be openly published with accession number available. Only the journal paper has been openly published or the conference paper has accession number available within 24 months after doctoral degree candidates, the applicant can apply for degree examination.

2. 学术论文应是申请者学位论文密切相关（代表学位论文研究）的成果。

The academic paper must be deemed an achievement (indicating the research interest of the dissertation) closely associated with the applicant’s dissertation.

3. 作为申请博士学位论文评阅用的学术论文，投稿前需经指导教师审阅同意。

If the academic paper is used to apply for doctoral dissertation review purpose, it shall be reviewed and approved by the tutor before being contributed.

4. 申请者必须是学术论文的第一作者，或申请者是第二作者时其指导教师（含副指导教师）是第一作者；申请者的第一署单位只能是北京航空航天大学（博士生身份）。

The applicant must be the first author of the said academic paper or the second author with the tutor (or co-tutor) being the first author. The first undersigned employer of the applicant shall be Beihang University only (in the capacity of Doctoral Degree candidate).

5. 学术论文的刊物级别要求达到如下条件：

The publication level of such academic paper must meet the following requirements:

对工科学科要求范围是 SCI、SCIE、EI（ISTP）收录源刊物。

The required scope of journals for those majoring in the engineering discipline includes source journals under SCI, SCIE or EI (ISTP).

对经管、人文社科学科要求范围是在 SCI、SSCI、A&HCI、SCIE、EI（ISTP）、CSSCI、CSCD 收录源刊物或《经济管理学院高水平重要期刊目录》。

The required scope of journals for those majoring in the economic management, humanity and social science disciplines shall be collected source journals by SCI, SSCI, A&HCI, SCIE, EI (ISTP), CSSCI, or CSCD or journals in the *Catalogue of the Economic Management College for Important High-level Journals*.

注：“收录源刊物”是指在相关数据库可检索到的国内外学术期刊和学术会议。

Note: "Collected Source Journals" refer to the international and domestic academic journals and conferences which can be retrieved from relevant data banks.

- (1) 对于学术期刊论文要求检索到学术期刊名称;

For a paper published on an academic journal, the title of the journal must be presented;

- (2) 对于学术会议论文, 应满足以下二者之一:

For a paper of an academic conference, it shall meet either of the following two requirements:

所发论文应被以上所要求的相关数据库收录 (有收录号);

The published paper should be included by above related database (with accession number available);

所参加的国际会议应在各专业学院提供的本专业《高水平国际会议目录》中。

The international conference concerned should be one of those listed in the *High-level International Conference Catalogue* offered for the major by training school.

6. 对在刊物上“已录用”的论文应提交如下附件:

The academic paper at "accepted" status shall be submitted the following attachments:

- ① 须填写《博士研究生“已录用”或“已投稿”学术论文情况确认表》;

Fill out the *confirmation form of accepted or contributed academic paper for doctoral degree candidates*;

- ② “(要求有确定卷期号的) 录用通知书原件”;

Original accepted letter (including the volume number);

- ③ “版面费收据”或者“版权转让通知单”;

Layout fee receipt or transfer of copyright notice;

- ④ “论文样稿首页”, 并用彩色笔标示: “论文题目”、“博士生姓名”、“博士生所属单位”等。

Home page of the sample academic paper and marked the title, student name and training school by colored pen;

如果②、③是“电子邮件”的形式, 请导师签署“情况属实”并签名, 同时加盖学院教务公章。

If ②, ③ is in "e-mail" form, tutor should approve the case and sign, at the same time with the seal of Educational Affairs Office of training school.

7. 对“学术会议”上发表的“学术论文”应提交如下附件:

Academic paper published on the academic conference shall be submitted the following attachments:

提交会议论文集的封面、目录、论文在论文集集中的首页的复印件。并在目录、首页的复印件上用彩色笔标示: “论文题目”、“博士生姓名”、“博士生所属单位”等。

Submit the copy of paper collection cover, catalogue and home page of the published academic paper; marked the title, student name and training school on the copy of catalogue and home page of published academic paper by colored pen.

本规定适用于 2013 年 9 月 1 日以后申请评阅、答辩的留学博士生。

The regulations apply to the international doctoral candidate applying for dissertation review or defense on or after September 1, 2013.

此规定为基本要求，如果留学生所在专业学院对发表论文有更高要求，以所在专业学院的规定为最终考核标准。

The regulations shall be the minimum requirements. If the training school where the international student is studying has set higher requirements for paper publication, the training school's rules shall serve as final evaluation standard.

北京航空航天大学研究生院学位办公室
The Academic Degree Office of Graduate School,
Beihang University

2013 年 9 月 1 日
September 1, 2013

